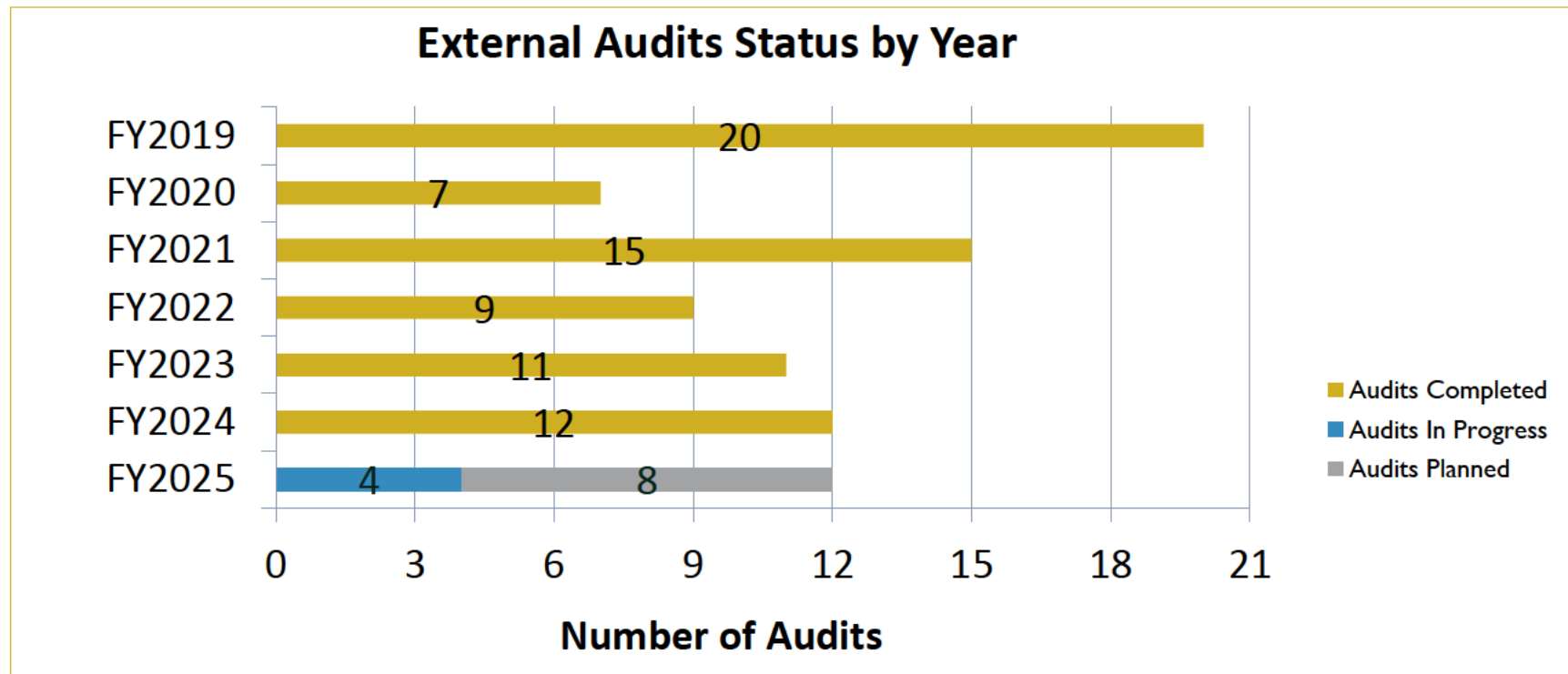


Quarterly External Audit and Remediation Status

Presented By
Trevor Williams, Chief Financial Officer
January 28, 2025

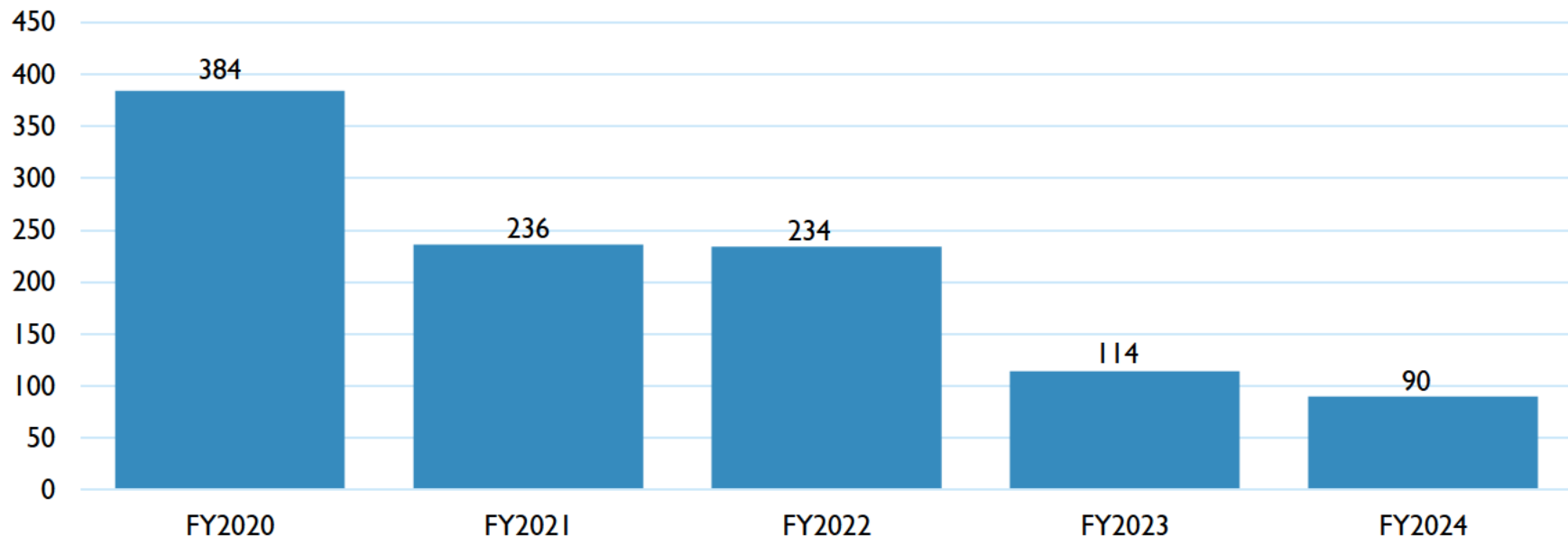
External Audit Activity (FY2019-2025)



External Audit Status

Audits Completed (FY2025)	Audits in Progress (FY2025)	Audits Planned (FY2025)
<div data-bbox="255 543 486 714">Auditor Legend EBSA Sikich TBD GAO</div>	<ol style="list-style-type: none">1. Use of Minority and Women-owned Asset Management Firms2. Access and Data Security Controls3. Information Protection Processes and Procedures4. Service Provider TSP Operations – DFAS	<ol style="list-style-type: none">1. Investment Management Operations – BlackRock2. Board Staff3. Detection and Monitoring Security Controls4. Vendor Risk Management and Agency Oversight Controls5. Status of Prior Year Findings6. CaMI Security Controls7. FISMA (FY2025)8. Annual F/S Audit (CY2024)

Open External Auditor Recommendations FY2020 – FY2024



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

External Auditor Recommendations

FY2025 Q1 Activity



Audit activity includes external audit results from Dept. of Labor (EBSA), Financial Statement Audit, GAO reviews, and FISMA.

* In Progress includes 6 agency non-concurs.